

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

<i>Complete if Known</i>	
Application Number	Not Assigned
Confirmation Number	Not Assigned
Filing Date	June 24, 2003
First Named Inventor	Carlos DE BARROS
Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	Q76135

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 6,292,606	B1	09/18/01	Riant et al
		US 6,009,222		12/28/99	Dong et al
		US 6,005,999		12/21/99	Singh et al
		US			
		US			

FOREIGN PATENT DOCUMENTS

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		K. OH et al, "Suppression of cladding mode coupling in Bragg grating using GE ₂ O-B ₂ O ₃ codoped photosensitive cladding optical fibre", ELECTRONICS LETTERS, IEEE Stevenage, GB, Vol. 35, No. 5, March 4, 1999, pp. 423,424, XP006011836	
		M. J. Holmes et al, "Novel Fibre Design for Narrow-band Symmetric Response Sidelap Filters with Suppressed Leaky Mode Resonance", 25 th European Conference on Optical Communication (ECOC'99). Nice, France, September 27-30, 1999, Vol. I of II, September 26, 1999, pp. I-216, I-217, XP0001004571	
		C. W. Haggans et al, "Narrow-Band Rejection Filters with Negligible Back Reflection Using Tilted Photo Inducted Gratings in Single-Mode Fibers", IEEE PHOTONICS TECHNOLOGY LETTERS, IEEE, Inc. < NY, US, Vol. 10, No. 5, May 1, 1998, pp. 690-692, XP000754661	

Examiner Signature _____ **Date Considered** _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of is form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76135

Carlos DE BARROS, et al.

Appln. No.: Not Assigned

Confirmation No.: Not Assgined

Group Art Unit: Not Assigned

Filed: June 24, 2003

Examiner: Not Assigned

For: AN OPTICAL FILTER

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

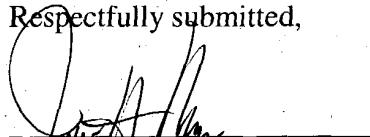
INFORMATION DISCLOSURE STATEMENT

Attorney Docket Q76135

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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